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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,059	09/26/2001	Tony Nick Frudakis	0201-0001

CONFIRMATION NO. 1445

FORMALITIES LETTER



OC00000007604819

ATTN: John J. Oskorep
One Magnificent Mile Center
Sutie 1400
980 N. Michigan Avenue
Chicago, IL 60611

Date Mailed: 03/11/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.
- This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

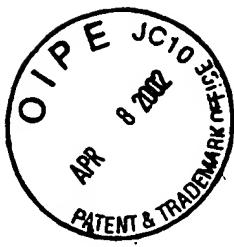
*A copy of this notice **MUST** be returned with the reply.*

Ken Dugay

Customer Service Center

Initial Patent Examination Division (703) 305-4630

PART 1 - ATTORNEY/APPLICANT COPY



TRANSMITTAL FORM FOR SEQUENCE LISTING
IN COMPUTER READABLE FORM (CRF)
ON COMPACT DISC (CD)

Patent Application Information:

Serial No.: 09/964,059

Filing Date: 09/26/2001

Inventor: Frudakis

Title: EFFICIENT METHODS AND APPARATUS FOR HIGH-THROUGHPUT
PROCESSING OF GENE SEQUENCE DATA

Compact Disc Information:

Enclosed: 1 Compact Disc (CD)

CD name: "SEQLIST_CRF"

Computer: IBM-PC

Operating
System: MS-WINDOWS

of files: 1

File Name: seq_listing

File
Contents: Sequence Listing for the above-referenced Patent Application in Computer
Readable Form (CRF)

File Size: 54 KB

File Creation
Date: 4/4/2002



Patent

TRANSMITTAL FORM FOR SEQUENCE LISTING ON COMPACT DISC (CD)

Patent Application Information:

Serial No.: 09/964,059

Filing Date: 09/26/2001

Inventor: Frudakis

Title: EFFICIENT METHODS AND APPARATUS FOR HIGH-THROUGHPUT
PROCESSING OF GENE SEQUENCE DATA

Compact Disc Information:

Enclosed: 1 Compact Disc (CD)

CD name: "DNAPRINT_SEQLIST" (CD COPY 2 of 2) (DUPLICATE)

Computer: IBM-PC

Operating System: MS-WINDOWS

of files: 1

File Name: "seq_listing"

File Contents: Duplicate copy of Sequence Listing for the above-referenced Patent Application.
This compact disc is identical and is a duplicate of "DNAPRINT_SEQLIST"
(CD COPY 1 of 2) filed contemporaneously herewith.

File Size: 54 KB

File Creation

Date: 4/4/2002

09/964,059
0201-0001

**TRANSMITTAL FORM FOR SEQUENCE LISTING ON COMPACT DISC (CD)****Patent Application Information:**

Serial No.: 09/964,059

Filing Date: 09/26/2001

Inventor: Frudakis

Title: EFFICIENT METHODS AND APPARATUS FOR HIGH-THROUGHPUT
PROCESSING OF GENE SEQUENCE DATA

Compact Disc Information:

Enclosed: 1 Compact Disc (CD)

CD name: "DNAPRINT_SEQLIST" (CD COPY 1 of 2)

Computer: IBM-PC

Operating System: MS-WINDOWS

of files: 1

File Name: "seq_listing"

File Contents: Sequence Listing for the above-referenced Patent Application.
This compact disc is identical to the duplicate "DNAPRINT_SEQLIST" (CD COPY 2 of 2) filed contemporaneously herewith.

File Size: 54 KB

File Creation

Date: 4/4/2002